Mutual Funds

Aditya Birla Sun Life Mutual Fund



PROTECTING INVESTING FINANCING ADVISING

COMMON TRANSACTION FORM (for One Transaction Only)

(PLEASE READ THE INSTRUCTIONS BEFORE FILLING UP THE FORM.)

(I ELASE READ THE III	STRUCTIONS DEFORE TILLIN	to or The Form.		
Distributor Name / ARN No. /RIA No.	IA No. Sub Broker Name / ARN No. /RIA No. Sub Broker Code		Employee Unique ID. No. (EUIN)	ARN Declaration - Upfront commission shall be paid directly by the investor to the AMF registered Distributors based on the investors assessment of various factors including th service rendered by the distributor.
Please sign below, in case the EUIN is left I person of the above distributor or notwiths be signed by all applicants, if mode of holdi	plank/not provided: I/We hereby confirm tha tanding the advice of in-appropriateness, if ng is joint]	at the EUIN box has been intentionally left blar any, provided by the employee/relationship m	k by me/us as this is an "execution-only" tra anager/sales person of the distributor and the	— anaction without any interaction or advice by the employee/relationship manager/sale edistributor has not charged any advisory fees on this transaction. (refer inst no. A-4) [To
First Unitholder / Authorised Signatory		Second Unitholder		
FOLIO NUMBER (Mandatory) :				Date: D D M M Y Y
1st Unitholder Name		2nd Unitholder Name		3rd Unitholder Name
PAN / PEKRN (Mandatory)	digit CHYC Number	PAN / PEKRN (Mandatory)	4 digit CMYC Number	PAN / PEKRN (Mandatory) CKYC Number Prefix if any
ADDITIONAL PURCHASE (Cheque / DD payment favouring "Scheme Name") Refer Instruction Section B				
Scheme: ABSL	PI	an: 0	otion:	Sweep to (applicable only for Dividend Option)
) Cheque/DD NEFT/RTGS Fu			in (Bank Name & Branch Address) Mandatory for OTM
Amount (₹): Chq/DD No.:	DD Charges / UTR / REF. No. nits will be alloted under default option as per respe	: Net Amour		
REDEMPTION				
Scheme: ABSL	Plan	n:	Option:	
Please redeem (₹):	or		units.	
If you have registered for multiple bank at released to the default bank account registers. Bank Name SWITCH		cify the bank details in which you wish to rec	eive the redemption proceeds. The bank acc	ount should be one of the registered bank account in the folio else the payout will be
I/We would like to switch ₹	or _		units	
FROM SCHEME / PLAN ABSL		Option		
TO SCHEME / PLAN ABSL		Option	s	weep to (applicable only for Dividend Option)
ALLOT UNITS IN DEMAT MODE (OPTIONAL) (Please ensure that the sequence of names in the folio matches with that of the A/c. held with the depository participant)				
NSDL: Depository Participant Name: CDSL: Depository Participant Name:			Beneficiary A/c No.	Enclosed: Client Master Transaction/ Statement Copy/ DIS Copy
DECLARATION(S) & SIGNATURE(S)				
through legitimate sources only and does not involve from time to time. I/We have understood the detail different competing Schemes of various Mutual Fur	tement of Additional Information / Scheme Informati re and is not designed for the purpose of the contraver s of the scheme & I/we have not received nor have be ds from amongst which the Scheme is being recomm	ntion of any Act, Rules, Regulations, Notifications or Dire een induced by any rebate or gifts, directly or indirectly i	ctions of the provisions of the Income Tax Act, Anti Mor n making this investment. The ARN holder has disclos ered into an agreement with the AMC / MF for acceptin	ules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme is ney Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the government of India ed to me/us all the commissions (in the form of trail ommission or any other mode), payable to him for the granasction feeds under the code. I We hereby indemnify, defend and hold harmless the AMC / MF agains tails provided by me/us are true and correct.
Signature Einst Unitholde	r / Authorised Signatory	Second		Third Unitholder
		- — — — — — -		*
Acknowledgement Slip (To be filled in by the Investor) COMMON TRANSACTION FORM				
Folio No.:Scheme:ABSL	Purchase		: ₹)	Collection Centre / ABSLAMC Stamp & Signature
From Scheme (in case of switch)		To Scheme		



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General Instructions

A. Common Instructions:

- 1. Please read the Scheme related documents, Addenda, KIM carefully before submitting the form.
- 2. KYC: According to guidelines issued by SEBI under 'The Prevention of Money Laundering Act, 2002, it is made compulsory for all unitholders to be KRA-KYC compliant while making an application for subscription of units. For more information on KRA-KYC, please log on to www.birlasunlife.com before investing. Applications are liable to be rejected without any intimation to the applicants, if required KRA-KYC compliance is not complied by all the unit holders.
- 3. If the broker details are changed / re-written, please countersign near the broker box for confirmation.
- 4. **Employee Unique Identification Number (EUIN):** For applications routed through distributors, in addition to the ARN of the distributor, Unitholders are requested to also provide the EUIN of the individual ARN holder or of employee/relationship manager/sale person of the Distributor interacting with the investor. Providing appropriate EUIN would assist in tackling the problem of mis-selling even if the Sales personnel on whose advice the transaction was executed by investor leaves the employment of the distributor or his/her sub broker. If the distributor has not given any advice pertaining to the investment (i.e. transaction is 'execution only'), then the EUIN box may be left blank, but it would be mandatory for the investor to provide signed confirmation as mentioned in the form. EUIN is not required to be provided for redemption transactions.
- 5. In case ARN No/ Broker Code is mentioned in the form, but 'Direct Plan' is indicated, the ARN No/ Broker Code will be ignored and the application will be processed under Direct Plan under respective scheme, subject to it being complete in all other aspects. Further, where application is received for 'Regular Plan' without Distributor code or 'Direct' mentioned in the ARN Column, the application will be processed under 'Direct Plan' of the scheme.

B. Specific Instructions For Additional Purchases:

- 1. To make an additional purchase, the first unit holder should be one of the bank account holders in the pay-in bank account i.e. the bank account used for issuing the payments to the Mutual Fund. Unitholder should attach necessary supporting documents as required by the Mutual Fund, if this is not evidenced on the payment cheque/funds transfer/RTGS/NEFT/OTM request, or demand drafts.
- 2. Additional Purchase request should necessarily mention the pay-in bank account details i.e. account number and bank, branch name used for issuing the payments to the Mutual Fund.
- 3. Payment through Stock invest, outstation cheques, and third party payments will not be accepted. D.D. charges, if any, would be borne by the AMC only for the investors residing at places which are not covered by our offices / authorised centres. The maximum charges so borne by the AMC would be restricted to limits as prescribed by State Bank of India.
- C. Specific Instructions For Redemption/Switch: Redemption may not be processed if folio number and full scheme name including plan and option is not mentioned. Redemptions/Switches will be processed only if amount/ units is clearly mentioned. If there is no sufficient amount/ units, the balance available free units in the respective account/folio will be redeemed/ shifted /switched. Proceeds of any redemption request will be sent only to a bank account that is already registered in the folio at the time of redemption transaction processing.

